

SUN Movement Reporting Template, 2016

ZAMBIA

2016 Reporting Template: Joint-Assessment by National Multi-Stakeholder Platform

April 2015 to April 2016

Process and Details of the 2016 Joint-Assessment exercise

To help the SUN Movement Secretariat better understand how your inputs for the Joint-Assessment 2016¹ were compiled from stakeholders, and to what extent the process was useful to in-country stakeholders, please provide us with the following details:

Participation

1. Did the following stakeholder groups provide specific inputs, whether in writing or verbally, to the Joint-Assessment?

Group	Yes (provide number) / No (= 0)
Government	YES
Civil Society	YES
Science and Academia	NO
Donors	YES
United Nations	YES
Business	YES
Other (please specify)	

2. How many people in total participated in the process at some point?	_50	_Government – 20, Civil Society20, Science and Academia – 2, Donors -
-3, United Nations 3? Business2		

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¹ Please note that the analysed results of this Joint-Assessment exercise will be included in the SUN Movement Annual Progress Report 2016 along with the details of how the exercise was undertaken in- country.



Process

3. Was the Joint-Assessment data gathered and/or reviewed during a face-to-face meeting, or via email?

Step	Format
Collection	Meeting Y Email
Review, validation	Meeting Y Email

4. If a collection or validation meeting did take place, please attach a photo of it if possible

Usefulness

5. If a collection or validation meeting did take place, would you say that the meeting was useful to participants, beyond the usual work of the MSP?
Yes / No
Why?
YESYes this allowed for more comprehensive feedback from the various nutrition stakeholders/sectors in terms of understanding in-country
SUN Movement and in gauging our performance during the period under review.



N/A	0	1	2	3	4
Not applicable	Not started	Started	On-going	Nearly completed	Completed
Progress Marker not applicable	Nothing in	Planning	Planning completed and	Implementation complete with	Fully operational /Target
to current context	place	begun	implementation initiated	gradual steps to processes	achieved/On-going with
				becoming operational	continued monitoring/ Validated/
					Evidence provided

Process 1: Bringing people together in the same space for action

PROCESS 1: Bringing people together in the same space for action

Strengthened coordinating mechanisms at national and sub-national level enable in-country stakeholders to better work for improved nutrition outcomes. Functioning multi-stakeholder and multi-sectoral platforms enable the delivery of joint results, through facilitated interactions on nutrition related issues, among sector relevant stakeholders. Functioning multi-stakeholder platforms (MSP) enable the mobilisation and engagement of relevant stakeholders, assist relevant national bodies in their decision making, enable consensus around joint interests and recommendations and foster dialogue at the local level.

Progress marker 1.1: Select / develop coordinating mechanisms at country level							
DEFINITION	POSSIBLE SIGNS	FINAL PLATFORM SCORE	WHAT ACTIVITIES / INTERVENTIONS UNDERLIE EACH SCORE				
This progress marker looks at the extent to which coordination mechanisms are established at government level and are regularly convened by high-level officials. It indicates if non-state constituencies such as the UN Agencies, donors, civil society organisations and businesses have organised themselves in networks with convening and coordinating functions.	 Formal multi-sectoral and multi-stakeholder coordinating structure in place and functioning, such as a high level convening body from government (political endorsement) Official nomination of SUN Government Focal Point as coordinator Convene MSP members on a regular basis Appoint Focal Points/conveners for Key Stakeholder Groups e.g. Donor convener, Civil Society Coordinators, UN Focal Point, Business Liaison Person, Academic representative Institutional analysis conducted of capacity of high-level structure Establish or refine terms of reference, work plans and other types of enabling arrangements [Supporting documents requested] 	3	 Special committee of Permanent Secretaries on Nutrition in place and chaired by the Secretary to the Cabinet. Met once during the reporting period at which Permanent Secretary Ministry of Health was designated Chair for the National Multistakeholder Platform. The National Food and Nutrition Commission is the Secretariat to this special committee being the designated Government Focal Point for SUN. The National Multistakeholder Platform met on 15th February 2016 chaired by the Permanent Secretary Ministry of Health at which a number of resolutions were made and some of which were to be tabled before 				





	the Special Committee of Permanent Secretaries. III. Focal Points/conveners for Key Stakeholder platforms have been appointed by their respective institutions. (Nutrition Cooperating Partners — DFID/WFP; United Nations Network — UNICEF/WFP Civil Society Forum - CSO-SU; SUN Business Network — WFP; Academia and Research — NFNC; Government Network — NFNC) V. CSOs meet regularly, and networks and
	•
	V. Donor group continued to meet on monthly/bi-monthly basisVI. CO-level Donor Network TOR reviewed and
	validated



Progress marker 1.2: Coordinate internally and expand membership/engage with other actors for broader influence

This progress marker looks at the extent to which coordinating mechanisms established by the government and by non-state constituencies are able to reach out to relevant members from various sectors, to broaden the collective influence on nutrition-relevant issues. It also analyses the extent to which local levels are involved in the multi-stakeholdersector approach in nutrition (e.g. decentralisation of platforms).

- Expand MSP to get key members on board
- Additional relevant line ministries, departments and agencies on board e.g. nutrition-sensitive sectors
- Actively engage executive level political leadership
- Key stakeholder groups working to include new members e.g. Development partners; diverse civil society groups; private sector partnerships; media; parliamentarians; scientists and academics
- Engage with actors or groups specialised on specific themes such as gender, equity, WASH etc
- Establish decentralised structures and/or processes that support planning and action locally, and create a feedback loop between the central and local levels, including community, and vulnerable groups. [Provide examples, if available]

- 1. The Ministry of Natural Resources in particular the Forestry Department has already been identified as one key member to bring on board in the MSP. This will both expand the MSP and the department was identified because of its potential to contribute towards reduction in malnutrition.
- The District Nutrition Coordinating Committees, the Ward/Zonal Coordinating Committees have been established in the initial 14 districts and Provincial Nutrition Coordinating Committees in the 7 provinces are in the process of being as well.
- 3. DNCCs interacting with District WASHE Committees
- 4. Donor network support activities in social protection, with a focus on incorporating nutrition into social protection
- 5. UN network has developed the Sustainable Framework which includes nutrition, support the government ministries in the development of the Seventh National Development Plan
- 6. CSO membership expanded from 52 to 63.
- 7. Different networks have appeared before the parliamentary committees
- 8. SUN Business Network has been constantly engaged with Zambia Bureau of Standards, NFNC, Zambia Development Agency and World Health Organisation on nutrition
- 9. Donor network working to advocate to





			comn 10. Dono prote	rnment nitment to go r network su ction, with a tion into soci	ipport act a focus or	ivities in incorpo	social
Progress marker 1.3: Engage wi	thin/ contribute to multi-stakeholder platform (MSP)						
This progress marker looks at	■ Ensure MSP delivers effective results against	3	I.	At the I	ast MSP	stakeh	olders
the actual functioning of the	agreed work-plans			resolved th	ne followi	ng a) lob	bying
MSP to facilitate regular	■ Ensure regular contribution of all relevant MSP			to move N	IFNC to h	igher po	rtfolio
interactions among relevant	stakeholders in discussions on: policy/legal			such as off	ice of the	vice pre	sident
stakeholders. It indicates the	framework, CRF, plans, costing, financial tracking			to strength	en its coo	ordinating	រូ role,
capacity within the multi-	and reporting, annual reviews.			b) the n	eed to	cost nut	trition
stakeholder platforms to	Regularly use platform for interaction on nutrition-			interventio	n to	identify	the
actively engage all	related issues among sector-relevant stakeholders			resource	gap, c)	the nee	d to
stakeholders, set significant	 Get platform to agree on agenda / prioritisation of 			urgently			
agendas, reach consensus to	issues			exercise to	facilitate	rolling	out of
influence decision making	Use results to advocate / influence other decision-			nutrition ir	iterventio	ns, d)the	need
process and take mutual	making bodies			to strengtl	nen NFNC	structui	res at
ownership and accountability	Key stakeholder groups linking with global support			the province	cial and d	istrict lev	'els e)
of the results.	system and contributing to MSP/nutrition actions			the need	to involve	e the Fo	restry
	e.g. financial, advocacy, active involvement			Departmer	t	in	the
				Multistake	nolder Mo	eetings a	ind f)
				the need t	o generat	e propos	als to
				improve lo	cal eviden	ce.	
			II.	Donor Gr	oup Parti	cipation	in all
				MSP meeti	ngs		
			III.	Donor Gro	oup contr	ibution	to all
				governmer	it red	quests	for
				informatio	n and part	icipation	



Progress marker 1.4: Track, rep	oort and critically reflect on own contributions and acco	omplishments	
This progress marker looks at the capacity of the multistakeholder platform as a whole to be accountable for collective results. It implies that constituencies within the MSP are capable to track and report on own contributions and achievements.	 Monitor and report on proceedings and results of MSP (including on relevant websites, other communication materials) on a regular basis [Supporting documents requested from the latest reporting cycle] Key stakeholder groups tracking commitments and are able to report on an annual basis, at a minimum e.g. financial commitments, Nutrition for Growth commitments, etc. 		 I. The five key line ministries of 1st 1000 MCDP and other stakeholders hold review meetings to review annual work plans as well as set priorities for the coming year. II. Donor Network facilitated information sharing of global, regional, and country-level best practices between various donors, as well as between donors and government & civil society
Progress marker 1.5: Sustain th	ne political impact of the multi-stakeholder platform		
This progress marker looks at how the multi-stakeholder approach to nutrition is institutionalised in national development planning mechanisms and in lasting political commitments, not only by the government executive power but also by the leadership of agencies and organisations.	 Integrate MSP mechanism on nutrition into national development planning mechanisms Continuous involvement of the executive level of political leadership irrespective of turnover Institutional commitments from key stakeholder groups 	3	 I. Nutrition is a component a of the national development vision 2030. As such it is integrated into the five year National Development Plan as a cross cutting subject matter. NFNC has been tasked by Ministry of National Planning and Development to coordinate development of the input into the Seventh National Development Plan 2017-2021. II. CSO Network has engaged major political parties to incorporate nutrition in their party manifestos III. Donor Network advocating for mechanisms that strengthen commitments to nutrition throughout Government, e.g. emphasizing need for partnership/walking together with Government in terms of investments, inputs into Health Cooperating Partners Group & supporting structures, etc.



	IV.	Increased	focus	on li	nkages	with
		programm	es	targetin	g ۱	WASH,
		adolescent	girls, e	tc		

Stakeholders	Description/ Key contribution of each stakeholder to Process One
Government	- Government through the NFNC coordinated the line ministries and other nutrition players by constantly engaging them using various platforms on nutrition
	and nutrition related issues
UN	- UN network has developed the Sustainable Framework which includes nutrition, support the government ministries in the development of the Seventh National Development Plan
	- Heads of 11 UN agencies have signed a Letter of Understanding on Nutrition that outlines the areas of collaboration and partnership to address nutrition challenges in Zambia; SUN UN Network members work in accordance with this LoU.
	- Technical & Financial support provided to NFNC for conducting a stakeholder retreat in April 2015
	- Convened the network meeting on an ad hoc basis, specifically surrounding specific requests from support by Government
	- Active participation at the multi-stakeholder platform and other related meetings
	- Representation of the UN in diverse groups, e.g. SUN Fund Project Steering Committee and other SUN Networks
Donor	Technical and financial support to relevant Government processes and other requests
Business	SUN Business Network has been constantly engaged with Zambia Bureau of Standards, NFNC, Zambia Development Agency and World Health Organisation on
	nutrition
CSO	- CSO membership expanded from 52 to 63 and the different networks have appeared to the parliamentary committees on nutrition
Others	-

OVERALL SUMMARY OF PROGRESS ACHIEVED OVER THE PAST YEAR (APRIL 2015 – APRIL 2016) FOR PROCESS 1: Bringing people together in the same space (i.e. Overall achievements/positive changes/ key challenges and suggestions for improvements/ other relevant activities in the context of scaling up nutrition efforts in country)

Functional Special Committee of Permanent Secretaries; Strengthened National Multistakeholder Platform with the designation of the Permanent Secretary Ministry of Health to Chair making the MSP to be accountable to government. The DNCC is being replicated to new districts beyond the 14. New partners are also adopting the DNCC approach so that they fit in into the overall national Scaling Up Nutrition. AdHoc Provincial Nutrition Coordinating Committees in place. In order to sustain these structures there is need to formalise establishment of the coordination structures at subnational levels. Line ministries have not broadly internalised SUN at all levels as such the staff movements affect implementation due to gap in understanding for those remaining in the sector. Therefore there is need for continuous orientation in the in country SUN Movement.



Process 2: Ensuring a coherent policy and legal framework

N/A	0	1	2	3	4
Not applicable	Not started	Started	On-going	Nearly completed	Completed
Progress Marker not	Nothing in	Planning	Planning completed and	Implementation complete with	Fully operational /Target
applicable to current context	place	begun	implementation initiated	gradual steps to processes	achieved/On-going with
				becoming operational	continued monitoring /
					Validated/ Evidence provided

Process 2: Ensuring a coherent policy and legal framework

The existence of a coherent policy and legal framework should inform and guide how in-country stakeholders work together for improved nutrition outcomes. Updated policies, strategies and legislations are fundamental to prevent conflicts of interest among the wide range of actors involved in a complex societal topic such as nutrition. This process focuses on the enabling policy and legal environment.

Progress marker 2.1: Continuously analyse existing nutrition-relevant policies and legislations

DEFINITION	POSSIBLE SIGNS	FINAL PLATFORM SCORE	WHAT ACTIVITIES / INTERVENTIONS UNDERLIE EACH SCORE
This progress marker looks at the extent to which existing nutrition-relevant (specific and sensitive) policies and legislations are analysed using multi-sectoral consultative processes with representation from various stakeholders, especially civil society representatives. It indicates the availability of stock-taking documents and continuous context analysis that can inform and guide policy making.	 Regular multi-sectoral analysis and stock-take of existing policies and regulations Reflect on existing policies and legal framework Existence of review papers Indicate any nutrition relevant (specific and sensitive) policies and legislations identified, analysed during the reporting period and specify the type of consultative process that was applied Minimum Requirements for Scoring 4: Countries are required to provide evidence of the analysed policies and legislations 	3	 NFNC Act has been reviewed by the stakeholders of the MSP. A draft bill is waiting for approval by parliament. The Food and Drugs Act has been reviewed to be replaced with the draft Food Safety and Quality Bill. The Public Health Act has had portions amended. Processes of reviewing the National Food and Nutrition Policy and the National Food and Nutrition Strategic Plan have commenced. There are minutes/reports for the specific consultative reviews Donor Network inputs into policy level documents, such as NFNC act and parliamentary hearing on nutrition sector



Progress marker 2.2: Continuou	sly engage in advocacy to influence the development	, update and dissemina	tion of relevant policy and legal frameworks
This progress marker looks at the extent to which in-country stakeholders are able to contribute, influence and advocate for the development of an updated or new policy and legal framework for improved nutrition and its dissemination (i.e. advocacy and communication strategies in place to support the dissemination of relevant policies). It focuses on how countries ascertain policy and legal coherence across different ministries and try to broaden political support by encouraging parliamentarian engagement. It also focuses on the efforts of in-country stakeholders to influence decision makers for legislations and evidencebased policies that empower the most vulnerable and disadvantaged (children and women) through equity-based approaches.	 Existence of a national advocacy and communication strategy Advocacy for reviewing or revising policies and legal framework with assistance from other MSP members to ascertain quality Develop common narrative and joint statements to effectively influence policy making Parliamentary attention and support (e.g. groups that deal specifically with nutrition; votes in support of MSP suggested changes) Influence of nutrition champions in advancing pro-nutrition policies Key stakeholder groups promote integration of nutrition in national policies and other related development actions Publications, policy briefs, press engagement examples, workshops Dissemination and communication of policy / legal framework by key stakeholders among relevant audiences Minimum Requirements for Scoring 4: Countries are required to provide evidence of advocacy impact on policy and legal frameworks and supporting strategies 	3	 A national Advocacy and Communication strategy is being implemented through the National Advocacy and Communication Technical Working Group spearheaded by the government network. Government network made appearances before the Parliamentary Committee for Health, Community Development and Social Services, at its sitting in April 2016. Notably the committee lobbied with the parliamentarians to support the Food and Nutrition Bill once it is brought to parliament. The parliamentary committee's agenda was to review the Implementation and Coordination of Zambia's Food and Nutrition Policy and Interventions. A report has been generated by Parliament with recommendations on how nutrition coordination and management can be improved. As part of nutrition advocacy, the National Food and Nutrition Commission through its communication department organized a high level meeting with 20 Parliamentarians from the Health, Agriculture, Community Development and Education Committees. This took place in November 2015. The Parliamentarians were oriented on; the First 1000 Most Critical Days Programme, the 2015 Nutrition Profiles of Zambia, and their important roles as parliamentarians in supporting nutrition in their respective constituencies.





	 4. Donor Group development of nutrition key messaging script, especially targeting lead up to election. 5. Donor network participation in consultations for the sector plans linked to nutrition 6. Donor network developed a one page advocacy document
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Progress marker 2.3: Develop o	r update coherent policies and legal frameworks thro	ugh coordinated and h	armoni	sed in-country stakeholders efforts
This progress marker looks at the extent to which in-country stakeholders - government (i.e. line ministries) and nonstate partners - coordinate their inputs to ensure the development of a coherent policy and legislation framework.	 Coordinate nutrition policies and regulation between relevant line-ministries E.g Existence of national ministerial guidelines / advice / support for mainstreaming nutrition in sector policies. Key Stakeholder Groups coordinate and harmonise inputs to national nutrition related policies and legislation (specific and sensitive) Develop/update policies / legal framework with assistance from other MSP members to ascertain quality. Existence of updated policies and strategies relevant (specific and sensitive) Existence of comprehensive legislation relevant to nutrition with focus on International Codes for BMS, food fortification and maternal leave and policies that empower women Ascertain nutrition policy coherence with other, development-related policies such as trade, agriculture, other Minimum Requirements for Scoring 4: Countries are required to provide evidence of the policies and legislations developed through coordinated 			Consultative processes in the NFNC Act review resulted in the development of the Food and Nutrition Bill which Cabinet has endorsed. Food and Nutrition Policy and the Food and Nutrition Strategic Plan have not yet been reviewed but the processes are earmarked to start in the second half of 2016 Donor Network aligned support to national nutrition strategic plans
Progress marker 2.4: Operation	efforts alise / enforce the legal frameworks			
This progress marker 2.4: Operation This progress marker looks at the availability of mechanisms to operationalise and enforce legislations such as the International Code of Marketing of Breast-Milk Substitutes, Maternity Leave Laws, Food Fortification Legislation, Right to Food,	 Availability of national and sub-national guidelines to operationalise legislation Existence of national / sub-national mechanisms to operationalise and enforce legislation [Please share any relevant reports/documents] Minimum Requirements for Scoring 4: Countries are required to provide evidence of law enforcement 	2	I.	The enforcement manuals for SI on the Code of Marketing of Breast-Milk Substitutes and Food Fortification are in place but are due for review.



among others.				
Progress marker 2.5: Track and report for learning and sustaining the policy and legislation impact				
This progress marker looks at	■ Existence and use of policy studies, research	2	The Food and Nutrition Policy will undergo a	
the extent to which existing	monitoring reports, impact evaluations, public		Regulatory Impact Assessment as part of the	
policies and legislations have	disseminations etc.		review process.	
been reviewed and evaluated	■ Individual stakeholder groups contribution to		Donor Network facilitated information sharing on	
to document best practices	mutual learning		recent research and other relevant Policy studies	
and the extent to which	Minimum Requirements for Scoring 4: Countries		have conducted e.g. Amerian Institute for	
available lessons are shared by	are required to provide evidence of lessons		Research (AIR) disseminated results of study on	
different constituencies within	learned from reviews and evaluations, such as		nutritional impact of social cash transfers and	
the multi-stakeholder	case studies and reports		IFPRI carried out a study on stories of change, both	
platforms.			studies can be shared.	

Stakeholders	Description/ Key contribution of each Stakeholder to Process Two
Government	- The Government partners reviewed the NFNC Act and came up with a draft Bill which Cabinet approved as one of the Bills to be presented to the next session of Parliament. Government network will continue to provide leadership in the review of the nutrition policy and other policies related to nutrition specific and
	nutrition sensitive interventions
UN	
	- Elaboration of the national Food and Nutrition Strategic plan
	- Elaboration of the National nutrition emergency preparedness and response plan
	- Advocacy for repositioning NFNC at an overarching ministry, through the Nutrition CP Group
	- Technical and financial inputs into the 7TH National Development Plan
Donor	Provided feedback to Government and other external stakeholders on strategies and other requests; developed core messaging script for increased
	advocacy to nutrition
Business	-
CSO	- Engaged political parties in the run up to the lections on including nutrition in their manifestos.
Others	

OVERALL SUMMARY OF PROGRESS ACHIEVED OVER THE PAST YEAR (APRIL 2015 – APRIL 2016) FOR PROCESS 2: Coherent policy and legal framework (i.e. Overall achievements/positive changes/ key challenges and suggestions for improvements/ other relevant activities in the context of scaling up nutrition efforts in country)



A draft Food and Nutrition Bill has been developed after a series of consultations with stakeholders. Cabinet has already approved the Draft Food and Nutrition Bill. The timely completion of this process largely dependent on when Parliament will resume after the 11 August 2016 elections and nutrition remaining a topical issue in Zambia. The current Food and Nutrition Policy was adopted in 2006 and is earmarked for review this year alongside the National Food and Nutrition Strategic Plan 2011-2015. The active engagement of politicians especially at parliamentary level has helped to raise the profile of nutrition. The Food and Nutrition Bill could not be presented to parliament because of competing priorities, such as the Constitution amendment bill, among others.



Process 3: Aligning actions around a Common Results Framework

N/A	0	1	2	3	4
Not applicable	Not started	Started	On-going	Nearly completed	Completed
Progress Marker not applicable	Nothing in place	Planning	Planning completed and	Implementation complete	Fully operational /Target
to current context		begun	implementation initiated	with gradual steps to	achieved/On-going with
				processes becoming	continued monitoring/
				operational	Validated/ Evidence provided

Process 3: Aligning actions around a Common Results Framework (CRF - please see ANNEX 4 for the definition)

The alignment of actions across sectors that significantly contribute to nutrition improvement demonstrates the extent to which multiple sectors and stakeholders are effectively working together and the extent to which the policies and legislations are operationalised to ensure that all people, in particular women and children, benefit from an improved nutrition status. This process delves into the operational side of policy and legal frameworks and how they translate into actions². The term 'Common Results Framework' is used to describe a set of expected results agreed across different sectors of Governments and among key stakeholders through a negotiated process. The existence of agreed common results would enable stakeholders to make their actions more nutrition driven through increased coordination or integration. In practice, a CRF may result in a **set of documents that are recognised as a reference point** for all sectors and stakeholders that work together for scaling up nutrition impact.

Progress marker 3.1: Align existing actions around national nutrition targets/policies

DEFINITION	POSSIBLE SIGNS	FINAL PLATFORM SCORE	WHAT ACTIVITIES / INTERVENTIONS UNDERLIE EACH SCORE
This progress marker looks at the extent	Multi-sectoral nutrition situation	3	Plans are underway to carry out mapping of
to which in-country stakeholder groups	analyses/overviews		stakeholders across the 107 districts in readiness
take stock of what exists and align their	Analysis of sectoral government		for Rolling out SUN countrywide.
own plans and programming for nutrition	programmes and implementation		One of the recommendations from the MSP was
to reflect the national policies and	mechanisms		to come up with a common results framework to
priorities. It focuses on the alignment of	Stakeholder and nutrition action		align actors around nutrition.
actions across sectors and relevant	mapping		A results framework for the 1st 1000 MCDP is in
stakeholders that significantly contribute	 Multi-stakeholder consultations to 		place however, there is need for a CRF which
towards improved nutrition.	align their actions		incorporates mechanisms for accountability.
Note: while Progress Marker 2.1 looks at	 Map existing gaps and agree on core 		Donor Network: Push to bring more donors
the review of policies and legislations,	nutrition actions aligned with the		operating within the nutrition space to work
Progress Marker 3.1 focuses on the	policy and legal frameworks		within SUN Fund/support initiatives in-line with

² 'Actions' refers to interventions, programmes, services, campaigns and enacted legislation or specific policy. The 2013 Lancet Series on Maternal and Child Nutrition provides a set of evidence-based high-impact specific nutrition actions including the uptake of practices such as 'exclusive breastfeeding for six months'

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This progress marker looks at the extent to which in-country stakeholders are able to agree on a Common Results Framework to effectively align interventions for improved nutrition. The CRF is recognised as the guidance for medium-long term implementation of actions with clearly identified nutrition targets. Ideally, the CRF should have identified the coordination mechanism (and related capacity) and defined the roles and responsibilities for each stakeholder for implementation. It should encompass an implementation matrix, an M&E Framework and costed interventions, including costs estimates for advocacy, coordination and M&E.	Minimum requirements for scoring 4: Countries are required to provide documentation supporting the alignment legal frameworks into an actionable Commodification objectives Defining the medium/long term implementation objectives Defining the implementation process with clear roles for individual stakeholder groups ³ Agree on CRF for scaling up nutrition. Elements of a CRF would include: Title of the CRF; implementation plans with defined roles of stakeholders in key sectors (e.g. health, agriculture, social protection, education, WASH, gender); cost estimates of included interventions; cost estimates for advocacy, coordination and M&E capacity strengthening needs and priorities Assessment of coordination capacity to support CRF Minimum requirements for scoring 4: Countries are required to provide evidence of a robust plan that has been technically and politically endorsed	3	SUN Donor Network leading the process for redesigning the SUN fund mechanism. (CRF) for scaling up nutrition Plans are underway to review the National Food and Nutrition Strategic Plan 2011-2016 and develop the next NFNSP (2017-2021). Stakeholders will take this opportunity to develop a CRF.
This progress marker looks specifically at the national and local capability to sequence and implement the priority actions. This requires, on the one hand, a	 Assessments conducted of capacity for implementation, including workforce and other resources Sequencing of priorities to mobilise and 	3	I. Under the 1 st 1000 MCDP annual work plans are developed by the key line ministries and NFNC as well as other SUN Fund grant recipients. Once the CRF is

³ This assumes existence of multi-sectoral and multi-stakeholder coordination and engagement under Process1



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clear understanding of gaps in terms of	develop capacity of implementing			developed, all partners will be aligned
delivery capacity and, on the other hand,	entities in line with assessments and			and joint planning of the annual priorities
a willingness from in-country and global	agreed arrangements			will be facilitated.
stakeholders to mobilise their technical	Existence of annual detailed work plans		II.	Donor Network provided support to
expertise to timely respond to the	with measurable targets to guide			various Government agencies to
identified needs in a coordinated way.	implementation at national and sub-			implement their workplans.
	national level		III.	The government network is facilitating
	■ Institutional reform implemented as			the formalization of the coordinating
	needed to increase capacity of			mechanism for nutrition from the
	coordination mechanism			national level to the sub national level.
	Minimum requirements for scoring 4:			
	Countries are required to provide			
	evidence of aligned actions around			
	annual priorities such as an annual work			
	plans or implementation plan			
Progress marker 3.4: Jointly monitor prior	rity actions as per Common Results Framewo	ork		
This progress marker looks specifically at	■ Information System (e.g. multi-sectoral	2	i.	The national monitoring and evaluation
how information systems are used to	platforms and portals) in place to			technical working group developed the
monitor the implementation of priority	regularly collect, analyse and			M&E plan for the 1000 MCDP. Partners
actions for improved nutrition. It looks	communicate the agreed indicators			are buying in on common indicators. This
specifically at the availability of joint	focusing on measuring implementation			will facilitate common information flow
progress reports that can meaningfully	coverage and performance			for reporting.
inform the adjustment of interventions	Existence of regular progress reports		ii.	Regular reports are generated for the
and contribute towards harmonised	■ Conducting of joint annual/regular			1000 MCDP but mechanisms are not in
targeting and coordinated service	reviews and monitoring visits			place for reporting of inputs from other
delivery among in-country stakeholders.	Adjustments of annual plans, including			partner initiatives.
	budgets based on analysis of		iii.	Donor Network supported Joint Annual
	performance			Review to review progress made under
	Existence of participatory monitoring			for the 1000 MCDP. A number of
	by civil society			recommendations were made for
	Minimum requirements for scoring 4:			improvements.
	Countries are required to provide			
	evidence of regular/annual joint review			
	of implementation coverage and			
	performance of prioritised actions			
	periorinance of prioritised detions			



or Network participated in relevant
and the second s
ceholder consultations to generate
ons learned, shared information that
received, and supported
vernment to utilize information
vided.
RI supported by donor and
ernment networks carried out a study
ed "Stories of Change" which highlight
influences policy in Zambia. This
dy is being used to identify capacity
ds of government, and in particular
of NFNC in influencing policy
isions.
1310113.

Stakeholders	Description/ Key contribution of each stakeholder to Process Three
Government	- Government continued to provide leadership towards the development of the common result framework
UN	Through its Sustainable Development Partnership Framework, the UN established a Result Group on Food and Nutrition Security for providing
	broader/holistic support to government on food and nutrition programmes
Donor	Worked to expand pool of donors funding SUN; supported Joint Annual Review; facilitated information sharing and incorporation of best practices
Business	-
CSO	-
Others	-

OVERALL SUMMARY OF PROGRESS ACHIEVED OVER THE PAST YEAR (APRIL 2015 – APRIL 2016) FOR PROCESS 3: Common Results Framework for National Nutrition Plan (aligned programming)

(i.e. Overall achievements/positive changes/ key challenges and suggestions for improvements/ other relevant activities in the context of scaling up nutrition efforts in country)



Components of the CRF are in place as given in the 1st 1000 MCDP and the National Food and Nutrition Strategic Plan 2011-2015. However, the accountability component is yet to be developed. Even though the Joint Annual Review has been done by the Nutrition CPs and government, it focussed on the 14 SUN Funded districts and therefore the other stakeholders felt left out and their performance not taken into consideration. Therefore the JAR should encompass all the districts supported on implementation nutrition specific and nutrition sensitive interventions irrespective of source of funds.

From the Joint visits that have been undertaken some best practices have emerged but these have not been documented. However, the Communication and Advocacy TWG has prioritised documentation commencing in the second half of the year.



Process 4: Financial tracking and resource mobilisation

N/A	0	1	2	3	4
Not applicable	Not started	Started	Ongoing	Nearly completed	Completed
Progress Marker not	Nothing in	Planning	Planning completed and	Implementation complete with	Fully operational /Target
applicable to current	place	begun	implementation initiated	gradual steps to processes	achieved/On-going with
context				becoming operational	continued monitoring/ Validated/
					Evidence provided

Process 4: Financial tracking and resource mobilisation

Assessing the financial feasibility of national plans to implement actions for improved nutrition is essential to determine funding requirements. The latter is based on the capability to track planned and actual spending on nutrition across relevant government ministries and from external partners. The existence of plans with clearly costed actions helps government authorities and key stakeholders (e.g. UN, Donors, Business, Civil Society) to align and contribute resources to national priorities, estimate the required budget for implementation and identify financial gaps.

Progress marker 4.1: Cost and assess file	nancial feasibility		
DEFINITION	POSSIBLE SIGNS	FINAL PLATFORM SCORE	WHAT ACTIVITIES / INTERVENTIONS UNDERLIE EACH SCORE
This progress marker looks at the	■ Existence of costed estimations of	3	The World Bank carried out a costing exercise for
extent to which governments and all	nutrition related actions [please		nutrition-specific interventions. However, this has
other in-country stakeholders are able	provide the relevant documentation]		not yet been operationalized. The government
to provide inputs for costing of	■ Existence of costed plans for CRF		network, with support from donor network has
nutrition-specific and nutrition-	implementation		hired consultants to develop a scale up costed
sensitive actions across relevant	■ Stakeholder groups have an overview		plan, building on the World Bank work. As part of
sectors (costing exercises can be	of their own allocations to nutrition		
performed in various ways including	related programmes/actions [please		
conducting a review of current	provide the relevant documentation]		
spending or an estimation of unit	Minimum requirements for scoring 4:		
costs).	Countries are required to provide		
	documents outlining the costing method,		
	and the costed programmes or plans		
Progress marker 4.2: Track and report on financing for nutrition			
This progress marker looks at the	Reporting of nutrition sensitive and	2	A budget tracking exercise was conducted for the
extent to which governments and all	specific interventions, disaggregated by		period 2011-2015 with support from CSO-SUN
other in-country stakeholders are able	sector, and financial sources (domestic		Alliance to track resource allocation towards
to track their allocations and	and external resources) including		nutrition specific and sensitive programmes as
expenditures (if available) for	 Planned spending 		contained in the yellow book and other budget





nutrition-specific and nutrition-
sensitive actions in relevant sectors.
This progress marker also aims to
determine whether the financial
tracking for nutrition is reported and
shared in a transparent manner with
other partners of the MSP including
the government.

- Current allocations
- Recent expenditures (within 1-2 years of the identified allocation period)
- Existence of reporting mechanisms including regular financial reports, independent audit reports, cost effectiveness studies, multi-sectoral consolidation of the sectoral nutrition spending (including off-budget), and others.
 - Existence of transparent and publicly available financial related information
- Social audits, sharing financial information among MSP members, making financial information public.
 Minimum requirements for scoring 4: Countries are required to provide evidence of publicly available information on current allocations and

documents. The findings were disseminated to various stakeholders, key among them were the Special Permanent Secretaries' Committee on nutrition. The findings from the budget tracking formed crucial input into the country's position paper on the Road to Rio N4G summit.

Progress marker 4.3: Scale up and align resources including addressing financial shortfalls

recent actual spending

This progress marker looks specifically at the capability by governments and other in-country stakeholder to identify financial gaps and mobilise additional funds through increased alignment and allocation of budgets, advocacy, setting-up of specific mechanisms.

- Existence of a mechanism to identify current financial sources, coverage, and financial gaps
- Government and other In-country stakeholders assess additional funding needs; continuous investment in nutrition; continuous advocacy for resource allocation to nutrition related actions
- Strategically increasing government budget allocations, and mobilising

- i. The CSO-SUN has carried a study on the investments towards nutrition that will become the basis for advocacy towards increased allocation to this sub sector. This mechanism will further be rolled out to provinces and districts
- ii. Donor Network advocated for increased number of donors to put money into nutrition via the in-country SUN fund mechanism, with more donors coming in to support SUN priority interventions.



	additional domestic and external		
	resources.		
	Minimum requirements for scoring 4:		
	Countries are required to provide		
	evidence of a mechanism for addressing		
	financial gaps		
Progress marker 4.4: Turn pledges into	disbursements		
This progress marker looks at how	■ Turn pledges into proportional	3	Through the Cooperating Partners' Forum and
governments and other in-country	disbursements and pursue the		other multisectoral meetings, Donors towards
stakeholders are able to turn pledges	realisation of external commitments		the SUN Fund constantly reviewed progress made
into disbursements. It includes the	■ Disbursements of pledges from		in transforming pledges to actual disbursements
ability of Donors to look at how their	domestic and external resources are		and this was done in consultation with SUN 1000
disbursements are timely and in line	realised through: Governmental		MCDP implementing ministries at national level
with the fiscal year in which they were	budgetary allocations to nutrition		
scheduled.	related implementing entities		
	■ Specific programmes performed by		
	government and/or other in-country		
	stakeholder		
	Minimum requirements for scoring 4:		
	Countries are required to provide		
	evidence of disbursements against		
	pledges (domestic or external)		
Progress marker 4.5: Ensure predictabil	ity of multi-year funding to sustain implement	entation results and nut	rition impact
This progress marker looks specifically	■ Existence of a long-term and flexible	1	There is no clear cut resource mobilization
at how governments and in-country	resource mobilisation strategy		strategy therefore there is urgent need to
stakeholders collectively engage in	 Coordinated reduction of financial gaps 		develop one and operationalize it.
long-term predictable funding to	through domestic and external		
ensure results and impact. It looks at	contributions		
important changes such as the	Stable or increasing flexible domestic		
continuum between short-term	contributions		
humanitarian and long-term	■ Existence of long-term/multi-year		
development funding, the	financial resolutions / projections		
establishment of flexible but	Minimum requirements for scoring 4:		
predictable funding mechanisms and	Countries are required to provide		



ne sustainable addressing of funding evidence of multi-year funding
mechanisms

Stakeholders	Description/ Key contribution of each stakeholder to Process Four
Government	- Government has been using the outcome of the budget tracking exercise to lobby for increased resource allocation to nutrition
UN	- Support to broader national advocacy efforts surrounding nutrition
Donor	- Implemented activities to increase coordinated funding to nutrition via the SUN Fund mechanism
Business	-
CSO	-
Others	-

OVERALL SUMMARY OF PROGRESS ACHIEVED OVER THE PAST YEAR (APRIL 2015 – APRIL 2016) FOR PROCESS 4: Financial tracking and resource mobilisation (i.e. Overall achievements/positive changes/ key challenges and suggestions for improvements/ other relevant activities in the context of scaling up nutrition efforts in country)

While NFNC and CSO had made significant progress in budget tracking, collection of information was a challenge as partners were not ready to disclose disbursements towards nutrition. One of the contributing factors is the weak legal framework, which does not give NFNC authority to NFNC to compel partners to report on expenditure on nutrition in the country.

To address this challenge, one of the immediate tasks agreed upon by stakeholders is to put in place a CRF, which will ensure that partners are held accountable.

Another challenge needing immediate attention is the determination of the funding gap for nutrition which should be used for government and partners to pledge resources to nutrition.



Annex 1: Details of Participants

No.	Title	Name	Organisation	Email	Phone	Should contact be included in SUN mailing list?
1.	Nutrition Specialist	Ruth Siyandi	UNICEF	rsiyandi@unicef.org	+260 977719129	
2.	Programme Manager	Ethel Yandila	DFID	E-Yandila@dfid.gov.uk	+260 211 251164	
3.	Nutritionist	Mike Mwanza	NFNC	mikemwanza@gmail.com	+260 979158899	
4.	SBN Manager	Ralf Siwiti	WFP/SBN	raphael.siwiti@wfp.org	+260 978779508	
5.	Nutrition Advisor	Emily Heneghan	WFP	emily.heneghan@wfp.org	+260 971238426	
6.	ED	Robinah Mulenga Kwofie	NFNC	rmulenga2011@yahoo.com		
7.	AgroEconomist	Sosten Banda	NFNC	sostenbanda@gmail.com	+260 975226619	
8.	Programme Manager	Humphrey Sikapizye	Save the Children	humphrey.sikapizye@savethechi Idren.org		
9.	SNR Com Dev	Paul Mboshya	MLGH	mboshya@yahoo.com	+260 977775254	
10.	H/PHCNU	Freddie Mubanga	NFNC	fdmubanga@gmail.com	+260 977805413	
11.	Food Scientist	Gladys Chirwa Kabaghe	NFNC	gladysckabaghe@yahoo.com	+260 966761583	
12.	Nutrition Manager	Hermann Ouedraogo	UNICEF	houedraogo@unicef.org	+260	
13.						

${\bf 2016\ Joint\text{-}Assessment\ of\ National\ Multi\text{-}Stakeholder\ Platform_Name\ of\ Country}$



14.			
15.			

Annex 2: Focus Questions:

1.	How many time has your MSP and/or its associated organs met since the last Joint-Assessment?	MSP met Twice
	Please provide details of the meeting, where applicable, i.e., Technical committee meetings, inter-	NCPs meet monthly
	ministerial meetings, working groups meetings, etc.	Government network met twice
		Academia and Research met twice
		SUN Business Network met four times
		CSO SUN met seven times
2.	Is your MSP replicated at the decentralised levels? Or is there a coordination mechanism for	Yes, see extract from the 1 st 1000 MCDP
	nutrition at the sub-national level? (Yes/No)	document
	If Yes, please provide details of the coordination mechanism, composition and roles, etc.	
3.	Have you organised any high level event since the last Joint-Assessment? (Yes/No)	Yes, (1) Special Committee of Permanent
	If Yes, please provide details of the event organised, i.e., Forum on Nutrition, Workshop for high-	Secretaries for Nutrition Meeting date
	level officials, etc.	(2) Orientation of parliamentary
		committees on Health, Social Welfare,
		Agriculture and Education
4.	Are you planning to organise any high level event in the coming months (April 2016 – April 2017)?	Yes, in November the country will host a
	(Yes/No)	Nutrition Conference
	If Yes, please provide details of the event to be organised	
5.	Do you have identified Nutrition Champions in your Country? (Yes/No)	Yes these include Parliamentarians (see on
	If Yes, please elaborate on the contributions of the Champions.	6 below), Chiefs and Church leaders
		(promoting behaviour change for nutrition
		improvement),
6.	Are Parliamentarians in your country engaged to work for the scale up of nutrition in your	Yes; advocating for increased funding to
	country? (Yes/No)	nutrition, food fortification, amendment of
	If Yes, please elaborate on the contributions of the Parliamentarians for nutrition.	the NFNC Act, reviewing of the National
		Food and Nutrition Policy and increasing
		the profile of nutrition in sector Ministries.





7.	Are journalists and members of the media involved in keeping nutrition on the agenda in your country? (Yes/No) If Yes, please elaborate on the contributions of the media and journalists for nutrition.	Yes, Media and journalists were provided with training and orientation on nutrition reporting. After the training, some key activities undertaken by the media include; airing of programmes on nutrition on Community Radio Stations and writing articles on nutrition in national newspapers.
8.	Is there any reported Conflict of Interest within or outside your MSP? (Yes/No) If Yes, how was the Conflict of Interest handled?	Yes, some cooperating partners want to implement nutrition activities outside the agreed framework i.e one funding mechanism, one coordinating unit and one M&E. This is not yet resolved.
9.	Do you have a Social mobilisation, Advocacy and Communication policy/plan/strategy ? (Yes/No) If Yes, kindly attach a copy or copies of the documents	Yes
10.	Do you use the SUN Website , if not, what are your suggestions for improvement?	Yes, no suggestions at this point
11.	To support learning needs , what are the preferred ways to: - access information, experiences and guidance for in-country stakeholders? - foster country-to-country exchange?	Website, exchange visits, documentation of best practices. The SUN Movement secretariat can facilitate this.
12.	Would it be relevant for your country to reflect and exchange with SUN countries dealing with humanitarian and protracted crises, states of fragility?	Yes
13.	What criteria for grouping with other SUN countries with similar challenges and opportunities would be most useful for your country? i.e. federal, emerging economies, maturity in the SUN Movement, with double burden, etc. (for potential tailored exchanges from 2017 onwards)	The most preferred would be countries maturity in the SUN 1000 MCDP especially those that are more advanced in terms of implementation, and those with the double burden so that Zambia can draw lessons and replicate certain policies and strategies.



Annex 3: Common Priorities For 2016-2017:

The table below provides a basic overview of services available to support SUN Countries in achieving their national nutrition priorities in 2016-17. Please review the list below and record your key priorities for the coming year, providing specific details, so the SUN Movement Secretariat can better appreciate how to maximise delivery of relevant support.

The Policy and Budget Cycle Management – from planning to accounting for results	Social Mobilisation, Advocacy and Communication	Coordination of action across sectors, among stakeholders, and between levels of government through improved functional capacities	Strengthening equity drivers of nutrition
 ✓ Review relevant policy and legislation documents ✓ Situation/Contextual analysis ✓ Mapping of the available workforce for nutrition ✓ Strategic planning to define the actions to be included in the Common Results Framework (CRF) ✓ Development of a Monitoring & Evaluation (M&E) framework ✓ Support better management of data (e.g. National Information Platforms for Nutrition - NIPN) Estimation of costs to implement actions (national and/or sub-national level)Financial tracking (national and/or sub-national level) ✓ Support with the development guidelines to organise and manage Common Results 	 ✓ Engaging nutrition champions to position nutrition as a priority at all levels ✓ Engaging parliamentarians for legislative advocacy, budget oversight and public outreach ✓ Engaging the media for influencing decision makers, accountability and awareness ✓ Utilising high level events, partnerships and communication channels for leveraging commitments, generating investment and enhancing data ✓ Building national investment cases, supported by data and evidence, to drive nutrition advocacy ✓ Developing, updating or implementing multi-sectoral advocacy and communication 	 ✓ Support with assessments of capacity and capacity needs ✓ Strengthening of skills of key actors, such as Multistakeholder Platform member. Skills could include communication and negotiation, team building and leadership, planning and coordination. ✓ Support with strengthening capacity of individuals or organization to better engage with: themes (like WASH), sectors (like Education or Business), or groups (like scientists and academics) ✓ Analysis/ guidance for institutional frameworks at national and subnational levels, including MSP, Coordination Mechanisms, stakeholder groups, or others ✓ Prevention and management of Conflicts of Interest (COI) 	 ✓ Develop or review mechanisms that address equity dimensions in nutrition plans, policies and strategies. ✓ Ensuring participation of representatives from marginalised and vulnerable communities in decision-making processes ✓ Adapting, adopting or improving policies that aim to empower among women and girls



	<u>, </u>		
Framework (CRF) at subnational levels ✓ Financing of selected programmes (due diligence) ✓ Support with the design and implementation of contextual research to inform implementation decisionmaking ✓ Support with the design and implementation of research to generate evidence	strategies ✓ Developing evidence based communications products to support the scale up of implementation.	✓ Analysis of the broader enabling environment for scaling up nutrition, such as political commitment, or stakeholder group analysis	
Specify your country priorities for	Specify your country priorities	Specify your country priorities for	Specify your country priorities for
2016-17 and if support is	for 2016-17 and if support is	2016-17 and if support is available	2016-17 and if support is
available in-country:	available in-country:	in-country:	available in-country:
Strategic planning to define the	✓ Utilising high level events,		All of the above as this will be
actions to be included in the	partnerships and	actors, such as Multistakeholder	necessary to operationalise
Common Results Framework (CRF)	communication channels for	Platform member. Skills could	community engagement strateg y
Support with the development	leveraging commitments,	include communication and	
guidelines to organise and	generating investment and	negotiation, team building and	
manage Common Results	enhancing data	leadership, planning and	
Framework (CRF) at sub-national	✓ Building national investment	coordination. This is important at	
levels	cases, supported by data and	subnational level as we	
Incomplete CRF has been a	evidence, to drive nutrition	strengthening structures at that	
limitation to ensure accountability	advocacy	level.	
of stakeholders at all levels. The		☑ Support with strengthening	
current NFNSP ends this year		capacity of individuals or	
hence the need to develop another	External support is required.	organization to better engage with:	
which will be aligned to the		themes (like WASH), sectors (like	
Seventh National Development		Education or Business), or groups	
Plan 2017-2021		(like scientists and academics).	
Support better management of			
data (e.g. National Information		Required external support.	
Platforms for Nutrition - NIPN)			
Estimation of costs to implement			





actions (national and/or sub- national level)Financial tracking.		
External support is required for all the above.		

Annex 4 – Scaling Up Nutrition: Defining a Common Results Framework

The SUN Movement Secretariat has prepared this note to help you take stock of progress with the development of a Common Results Framework



- 1. Within the SUN Movement the term 'common results framework' is used to describe a set of expected results that have been agreed across different sectors of Government and among other stakeholders.
- 2. The existence of a negotiated and agreed Common Results Framework helps different parts of Government and other Stakeholders (including development partners) to work effectively together.
- 3. The ideal is that the Common Results Framework is negotiated and agreed under the authority of the highest level of Government, that all relevant sectors are involved and that other stakeholders fully support the results and their implementation.
- 4. The Common Results Framework enables different stakeholders to work in synergy, with common purpose. It combines (a) a single set of expected results, (b) an plan for implementing actions to realize these results, (c) costs of implementing the plan (or matrix), (d) the contributions (in terms of programmes and budget) to be made by different stakeholders (including those from outside the country), (e) the degree to which these contributions are aligned when designed and when implemented, (f) a framework for monitoring and evaluation that enables all to assess the achievement of results.
- 5. When written down, the Common Results Framework will include a table of expected results: it will also consist of a costed implementation plan, perhaps with a roadmap (feuille de route) describing the steps needed for implementation. There may also be compacts, or memoranda of understanding, which set out mutual obligations between different stakeholders. In practice the implementation plan is often an amalgam of several plans from different sectors or stakeholders hence our use of the term "matrix of plans" to describe the situation where there are several implementation plans within the Common Results Framework. The group of documents that make up a country's Common Results Framework will be the common point of reference for all sectors and stakeholders as they work together for scaling up nutrition.
- 6. The development of the Common Results Framework is informed by the content of national development policies, strategies of different sectors (eg. health, agriculture, and education), legislation, research findings and the positions taken both by local government and civil society. For it to be used as a point of reference, the Common Results Framework will require the technical endorsement of the part of Government responsible for the implementation of actions for nutrition. The Common Results Framework will be of greatest value when it has received high-level political endorsement from the National Government and/or Head of State. For effective implementation, endorsements may also be needed from authorities in local government.
- 7. It is often the case that some sectoral authorities or stakeholders engage in the process of reaching agreement on a Common Results Framework less intensively than others. Full agreement across sectors and stakeholders requires both time and diplomacy. To find ways for moving forward with similar engagement of all sectors and stakeholders, SUN Countries are sharing their experiences with developing the Frameworks.
- 8. SUN countries usually find it helpful to have their Common Results Frameworks reviewed by others, so that they can be made stronger or reinforced. If the review uses standard methods, the process of review can also make it easier to secure investment. If requested, the SUN Movement Secretariat can help SUN countries access people to help with this reinforcement.